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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/043,010

01/11/2002

Stanford R. Ovshinsky

2076

24963 ENERGY CONVERSION DEVICES, INC. 2956 WATERVIEW DRIVE ROCHESTER HILLS, MI 48309



CONFIRMATION NO. 6131
FORMALITIES LETTER
OC000000007464300

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

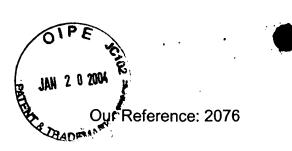
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





JAN 2 3 2004



PATENT

OFFICE OF PETTIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Ovshinsky

Serial Number:

10/043,010

Filing Date:

January, 11 2002

Title:

FOUNTAIN CATHODE FOR LARGE AREA PLASMA

DEPOSITION

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Hon. Commissioner of Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, this paper is to submit a signed Declaration and Power of Attorney, Assignment, Recordation Form Cover Sheet, Formalities Letter and Request for Extension of Time Under 37 C.F.R. 1.136(a).

Please charge our Deposit Account No. <u>05-1068</u> in the amount of <u>\$280.00</u> which amount covers the surcharge for filing missing parts of application, assignment recordation fee, and Extension of Time Under 37 C.F.R. 1.136(a) fee. If you need further assistance in this matter, please contact the undersigned.

David W. Schumaker

Reg. 35,017

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number TRANSMITTAL for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

2 0 2004

Complete if Known 10/043,010 Application Number Filing Date January 11, 2002 Ovshinsky First Named Inventor **Examiner Name** Art Unit 2076

TOTAL AMOUNT OF PAYMENT (\$) 110Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Check 3. ADDITIONAL FEES Credit card Other None Large Entity | Small Entity ✓ Deposit Account: Deposit Surcharge - late filing fee or oath Code (\$) Code 05-1068 <u>Fee Paid</u> Account Number 1051 130 2051 Deposit Surcharge - Agentiovisional filing fee or Active / Shiget CUUT Non-English specification 1052 50 2052 **Energy Conversion Devices** Name 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2,520. For filing arrentifs of soparte reexamination 1804 020 Requesting publication of SIR prior to 1812 2,520 1812 2 Charge fee(s) indicated below Credit any overpayments 1804 920 Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840° 1805 1.840* Requesting publication of SIR after to the above-identified deposit account. Examiner action 1251 110 2251 Extension for reply within first month **FEE CALCULATION** 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 1253 950 2253 arge Entity Small Entity 475 Extension for reply within third month Fee Fee Code (\$) Fee Paid Fee Description 1254 1,480 2254 740 Extension for reply within fourth month 1255 2.010 2255 1,005 Extension for reply within fifth month 1001 770 2001 385 Utility filing fee 1002 340 2002 170 1401 330 2401 Design filing fee 165 Notice of Appeal 165 Filing a brief in support of an appeal 1003 530 2003 265 Plant filing fee 1402 330 2402 1004 770 2004 385 1403 290 2403 145 Request for oral hearing Reissue filing fee 1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 110 55 Petition to revive - unavoidable **SUBTOTAL (1)** (\$) 1453 1.330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Fee from Extra Claims Fee Paid 1502 480 2502 below 240 Design issue fee **Total Claims** X 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Fee Fee Fee Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 8021 property (times number of properties) 1202 Claims in excess of 20 18 2202 9 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b)) 1204 86 2204 ** Reissue independent claims over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination and over original patent of a design application Other fee (specify) SUBTOTAL (2) [(\$) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 110 **or number previously paid, if greater; For Reissues, see above

SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) David W. Schumaker 35,017 Telephone 248-293-0440 Signature 01/20/2004

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.